

1 **Cascading Delays in the Monsoon Rice Growing Season and Post-**
2 **Monsoon Agricultural Fires Likely Exacerbate Air Pollution in**
3 **North India**

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23 **Key Points:**

- 24 • Delays in the monsoon rice growing season are linked to delays in post-monsoon crop
25 residue burning in Punjab, India
- 26 • District-level delays vary from 1-4 weeks with a longitudinal east-west gradient, where
27 western districts experienced the largest delays
- 28 • Delays in post-monsoon fires have consistently led to increased air quality degradation
29 across north India from 2008-2019

30 **Abstract**

31 Over the past two decades, smoke aerosols from crop residue burning have increasingly
32 degraded post-monsoon (October-November) air quality in north India. We use satellite data and
33 atmospheric modeling to investigate whether cascading delays in monsoon rice growth and post-
34 monsoon fires over 2003-2019 have exacerbated the already poor urban air quality downwind of
35 the fires. Beginning in 2008, a government effort to combat groundwater depletion in Punjab
36 mandated rice sowing until closer to the arrival of monsoon rains. We find evidence of district-
37 level delays in the timing of both monsoon rice growth and post-monsoon fires, which vary from
38 1-4 weeks with largely an east-west gradient. These delays are correlated spatially ($r = 0.51$ to
39 0.77), with northern and western districts in Punjab, which rely less on groundwater for
40 irrigation, tending to have the greatest delays. Had the delays in fire activity not occurred, we
41 estimate that cities downwind and near the fire source, such as New Delhi, Bathinda, and Jind,
42 would have consistently seen 11-21% less smoke-related fine particulate matter (PM_{2.5}) during
43 2008-2019, depending on that year's meteorology. This net benefit of earlier post-monsoon
44 burning could have been even larger given that (1) a longer rice-to-wheat transition could
45 incentivize farmers to find alternatives to burning crop residues; and (2) background PM_{2.5} is less
46 abundant earlier in the season, decreasing the likelihood of extreme pollution episodes. Strategies
47 aiming to mitigate air pollution while conserving groundwater may be more effective by
48 promoting an earlier monsoon growing season in districts with less groundwater depletion.

49 **Plain Language Summary**

50 During the post-monsoon period from October to November, farmers in northwest India
51 have increasingly burned rice residues to quickly clear fields and prepare to plant winter wheat.
52 As seen by satellites, these agricultural fires emit large amounts of smoke that travel to nearby
53 rural areas and populous urban centers, such as New Delhi, contributing to severe air pollution
54 episodes. Beginning in 2008, a government effort to combat groundwater depletion in the state of
55 Punjab mandated rice planting until closer to the arrival of monsoon rains. However, delays in
56 rice planting have led to delays in the timing of post-monsoon agricultural burning. Our
57 modeling results show consistently lower air quality in nearby cities as delayed fires coincide
58 with meteorological conditions that are more favorable for trapping smoke near the surface.
59 Delays in the fire season also shorten the transition period from rice to wheat, thus increasing fire
60 activity further. Strategies aiming to mitigate air pollution in north India may be more effective
61 by stemming the delays in the post-monsoon fire season.

62 **1 Introduction**

63 In India, the northwestern states of Punjab and Haryana, the so-called “breadbasket,” are
64 a major rice and wheat-producing region. Many farmers burn crop residues to quickly and
65 cheaply clear fields when transitioning between the monsoon and winter crops in a primarily
66 rice-wheat rotation (Liu et al., 2020; Vadrevu et al., 2011). Agricultural intensification,
67 mechanization, and labor shortages helped sustain and spread the practice of post-harvest crop
68 residue burning in spite of government bans (Jethva et al., 2019; Liu et al., 2019; Shyamsundar et
69 al., 2019). Most of the agriculture is dependent on groundwater-based irrigation, and

70 overconsumption has led to unsustainable decline in the water table decline at a rate of -2 cm yr^{-1}
71 in north India from 2002-2013 (Asoka et al., 2017). In response, the Punjab and Haryana
72 governments enacted legislation in 2008-09 to delay monsoon rice sowing closer to the monsoon
73 onset (Rodell et al., 2018; Singh, 2009) to conserve ground water by minimizing the need for
74 irrigation. Moreover, the earliest paddy sowing date, mandated as June 10 in Punjab in 2008, has
75 not remained static, shifting to June 15 in 2014, June 20 in 2018, and finally to June 13 in 2019
76 (The Indian Express, 2019). Delays in rice sowing shorten the post-monsoon turnaround between
77 monsoon rice harvests and winter wheat sowing, further elevating fire as an attractive option for
78 rice residue management (Balwinder-Singh et al., 2019; Jethva et al., 2019; Liu et al., 2021).
79 Previous observational and modeling studies show that post-monsoon fires from October to
80 November degrade regional air quality downwind and can exacerbate urban air pollution to
81 hazardous levels (Cusworth et al., 2018; Jethva et al., 2018; Kaskaoutis et al., 2014; Liu et al.,
82 2018; Ojha et al., 2020; Patel et al., 2021). A key question is whether the ~ 2 -week delay in post-
83 monsoon fires from 2003-2018 may have worsened air pollution, regardless of any trends in
84 anthropogenic emissions levels (Liu et al., 2021). Peak fire activity shifts from late October to
85 early November, when meteorological conditions (i.e., cooler temperatures, weak winds) are
86 more conducive to trapping haze within the shallow boundary layer (Gautam et al., 2021; Liu et
87 al., 2018, 2021; Ojha et al., 2020; Sembhi et al., 2020).

88 Here we use satellite observations and atmospheric modeling to directly link shifts in the
89 monsoon growing season and post-monsoon fire season to increases in air pollution. Previous
90 studies have thus far established a coincident delay in the timing of peak monsoon greenness and
91 post-monsoon fire activity in northwest India at the region or state level (Balwinder-Singh et al.,
92 2019; Jethva et al., 2019; Liu et al., 2019, 2021). If these trends are associated with one another,
93 we should see evidence that: (1) the temporal shifts of peak monsoon greenness are positively
94 correlated with those of post-monsoon fires at the district level; and (2) the timing of the
95 monsoon growing season and post-monsoon fires is positively correlated with the policy-
96 mandated planting dates post-2008. Liu et al. (2021) found that the magnitude of the delay in the
97 post-monsoon fire activity from 2003-2016 across Punjab exhibited a longitudinal gradient, with
98 greater delays in western districts ($>1.5 \text{ days yr}^{-1}$) and smaller delays in eastern districts ($<1 \text{ day}$
99 yr^{-1}), and we thus expect a similar pattern in the timing of peak monsoon greenness, as well as
100 other breakpoints in the monsoon growing season (e.g., green-up, senescence). The observed
101 trends in satellite-derived aerosol loading are consistent with both the delay and increased
102 magnitude in post-monsoon fires (Jethva et al., 2019; Liu et al., 2021), which suggests negative
103 air quality impacts downwind due to these cascading delays, as Kant et al. (2022) found. We thus
104 expect further air quality degradation if fires occur even later, but better air quality with no
105 delays in the fire season. Kant et al. (2022), however, did not isolate the effects of the fire season
106 delays from increases in fire activity. Sembhi et al. (2020) found that enhancements in air
107 pollution due to the observed delay in post-monsoon fires from 2016-2018 are in fact minimal in
108 cities across the IGP but highly sensitive to year-to-year meteorological variability. Sembhi et al.
109 (2020) designed three scenarios to evaluate air quality impacts from temporal shifts in the post-
110 monsoon fires in their modeling approach, in which the input fire emissions were (a) kept as is
111 (baseline), (b) shifted 10 days earlier, and (c) shifted 10 days later.

112 In this study, our goals are two-fold. First, we present satellite-based evidence that links

113 the delays in rice growing season and post-monsoon fires at the district level in Punjab. Second,
114 we use a receptor-oriented Lagrangian plume model to simulate the effect of these delays on
115 downwind air quality at six cities. More specifically, we undertake a more systematic modeling
116 approach than that utilized by Sembhi et al. (2020) by applying fire emissions, artificially shifted
117 0-21 days forward and backward in time, to the receptor sensitivities generated under a large set
118 of meteorological conditions (2007-2019). By testing the model with many different
119 combinations of fire season start times and meteorological conditions, we can distinguish the
120 effects of timing versus meteorology on the response of air quality downwind.

121 **2 Data and Methods**

122 *2.1 Satellite fire and surface reflectance datasets: temporal shifts in fire activity and* 123 *vegetation greenness*

124 The Moderate Resolution Imaging Spectroradiometer (MODIS) aboard the Terra and
125 Aqua satellites provide two decades of daily observations of fires and surface reflectance. As a
126 measure of fire intensity, we use maximum Fire Radiative Power (FRP) from the MODIS/Terra
127 and Aqua gridded active fire datasets, MOD14A1 and MYD14A1, at 1-km spatial resolution
128 (Giglio et al., 2016). The Collection 6 MODIS active fire detection algorithm uses a series of
129 contextual tests to identify active fire pixels, or pixels with enhanced thermal infrared signatures
130 relative to the background at the time of the satellite overpass.

131 As indicators of crop phenology, we derive the Normalized Difference Vegetation Index
132 (NDVI) and Normalized Burn Ratio (NBR) from the 500-m MODIS/Terra MOD09GA surface
133 reflectance at the red (0.65 μm), near-infrared (0.86 μm), and shortwave infrared (2.13 μm)
134 wavelengths (Vermote et al., 2015). Time series of MODIS-derived vegetation indices have been
135 used extensively to track crop phenology and quantify crop yields in India and globally (Jain et
136 al., 2013; Liu et al., 2021; Lobell et al., 2013; Sakamoto et al., 2005). NDVI and NBR are
137 analogous indices, but NBR's dependence on the shortwave infrared rather than red band make it
138 less susceptible to noise during the summer monsoon, when cloud cover is high (Liu et al.,
139 2021).

140 Following Liu et al. (2021), we calculate the day of (1) the midpoint of the post-monsoon
141 fire season and (2) maximum greenness during the monsoon growing season for each district in
142 Punjab and Haryana from 2003-2019. First, we estimate the midpoint of the fire season as the
143 weighted average of the sequence of Julian days, with each day's FRP as the weight. Second, we
144 use cubic splines to smooth the yearly timeseries of NBR or NDVI. We make an initial guess of
145 the day of maximum monsoon greenness as the second of two local maxima of the year; these
146 two maxima represent the timing of winter wheat and monsoon rice maturity. We define a 300-
147 day window around this initial guess to perform the final spline smoothing. We use the 500-m
148 MODIS global land cover product, MCD12Q1, with the University of Maryland (UMD) scheme
149 to retain only agricultural pixels for analysis (Sulla-Menashe et al., 2019). For NBR and NDVI,
150 we further mask out areas with zero active fire observations from 2003-2019 during the post-
151 monsoon period (here defined as September to November).

152 We then assess the spatial correlation between the temporal shifts in the midpoint date of

153 the post-monsoon burning season and peak monsoon greenness for districts in Punjab. Our
154 analysis includes 19 districts, where we observe at least one temporal shift that is statistically
155 significant at $p < 0.05$. To further examine spatial patterns in the monsoon crop phenology at the
156 MODIS pixel resolution (500 m), we use the MCD12Q2 annual land cover dynamics product,
157 which relies on the Enhanced Vegetation Index (EVI) to estimate the key dates in crop cycles,
158 such as green-up, peak, maturity, and senescence (Gray et al., 2019).

159 Finally, we evaluate how the temporal shifts in the monsoon growing season and post-
160 monsoon burning relate to irrigation practices. We obtained well data from the India Water
161 Resources Information System (India-WRIS, <https://indiawris.gov.in/wris/>, last accessed:
162 January 1, 2021). We use the district average groundwater levels in Punjab from 2003-2019.
163 Over 300 wells are monitored in Punjab as of 2019. To estimate the district-level percentage of
164 area irrigated by groundwater relative to canal, we use India census data from the Directorate of
165 Economics and Statistics, Department of Agriculture and Cooperation, Ministry of Agriculture
166 (Dacnet: <https://aps.dac.gov.in/LUS/Index.htm>).

167 *2.2 STILT modeling*

168 We use the Stochastic Time-Inverted Lagrangian Transport (STILT) model
169 (<https://uataq.github.io/stilt/>) to quantify the air quality impacts due to temporal shifts in the post-
170 monsoon fire season (Fasoli et al., 2018). STILT uses meteorology-driven particle dispersion to
171 generate influence footprints, which can then be convolved with surface emissions to calculate
172 the concentration of chemical species at a given receptor. STILT is based on NOAA's Hybrid
173 Single-Particle Lagrangian Integrated Trajectory (HYSPLIT) model, which uses meteorological
174 fields to track the trajectories of theoretical particles either backward or forward in time (Stein et
175 al., 2015). STILT takes one step further and uses Gaussian weighting to calculate the sensitivity
176 of emissions in each grid cell to the receptor at each time step based on an ensemble of many
177 particles' trajectories, each slightly different due to the stochastic fluctuations applied to simulate
178 turbulent motions in the atmosphere (Fasoli et al., 2018). Compared to forward models like
179 WRF-Chem or GEOS-Chem, STILT provides a computationally efficient approach to test the
180 influence of multiple emissions scenarios, which in this case differ by timing of peak burning
181 (Cusworth et al., 2018).

182 We drive STILT, version 2, with daily meteorology from the Global Data Assimilation
183 System (GDAS) at $0.5^\circ \times 0.5^\circ$ spatial resolution from 2007-2018 and the Global Forecast System
184 (GFS) at $0.25^\circ \times 0.25^\circ$ spatial resolution in 2019. Following Cusworth et al. (2018) and Liu et al.
185 (2020), for each model run, we send 500 air particles backward in time for 120 hours (5 days),
186 starting from a height of 5 m above ground at the receptor. For our region and time of interest,
187 500 is a reasonable number of particles for our STILT simulations, since the prevailing
188 meteorology (i.e., slow winds, stable boundary layer, and flat terrain) leads to relatively low
189 variation in the trajectories of different particles. The model domain is defined as $60\text{-}90^\circ\text{E}$ and
190 $20\text{-}40^\circ\text{N}$. Cusworth et al. (2018) started each model simulation at 12 p.m. local time to represent
191 the daily smoke $\text{PM}_{2.5}$ from agricultural fires. Here we calculate the hourly $\text{PM}_{2.5}$ every 3 hours
192 from 0 to 21 h local time from October 1 to November 30 of each year to better account for the
193 diurnal variability in station $\text{PM}_{2.5}$ observations.

194 **Table 1.** Locations of receptors / cities used in STILT simulations

Receptor / City	State / Province	Country	Coordinates (longitude, latitude)	Population ¹ (in millions)
New Delhi	Delhi	India	77.1°E, 28.7°N	17 (union territory), 26 (metro)
Kanpur	Uttar Pradesh	India	80.33°E, 26.45°N	2.9
Lahore	Punjab	Pakistan	74.36°E, 31.52°N	11
Ludhiana	Punjab	India	75.86°E, 30.9°N	1.6
Bathinda	Punjab	India	74.95°E, 30.21°N	0.3
Jind	Haryana	India	76.3°E, 29.33°N	0.2

195 ¹The population of Indian cities are from India’s 2011 census, while that of Lahore, Pakistan is from
 196 Pakistan’s 2017 census

197 We generate STILT footprints for six receptor cities across the IGP: New Delhi, Kanpur,
 198 Lahore, Ludhiana, Bathinda, and Jind (Table 1). These six receptors vary in terms of their
 199 population, as well as location and proximity relative to the fire source, and are likely
 200 representative of pollution impacts in surrounding areas. To obtain the smoke PM_{2.5} exposure at
 201 the receptor, we multiply the sensitivity footprints with fire emissions rates and integrate across
 202 all hourly timesteps. For agricultural fire emissions, we use the SAGE-IGP regional inventory
 203 (Liu et al., 2020). SAGE-IGP relies on both satellite observations and household survey data to
 204 adjust MODIS FRP for small fires detected by VIIRS, cloud/haze gaps in observations, partial-
 205 field burns, and the diurnal cycle of fire activity. When validated against ground-based
 206 observations of PM_{2.5} in New Delhi and aerosol loading in Kanpur, STILT simulations with
 207 SAGE-IGP perform better than those with global fire emissions inventories (Liu et al., 2020).

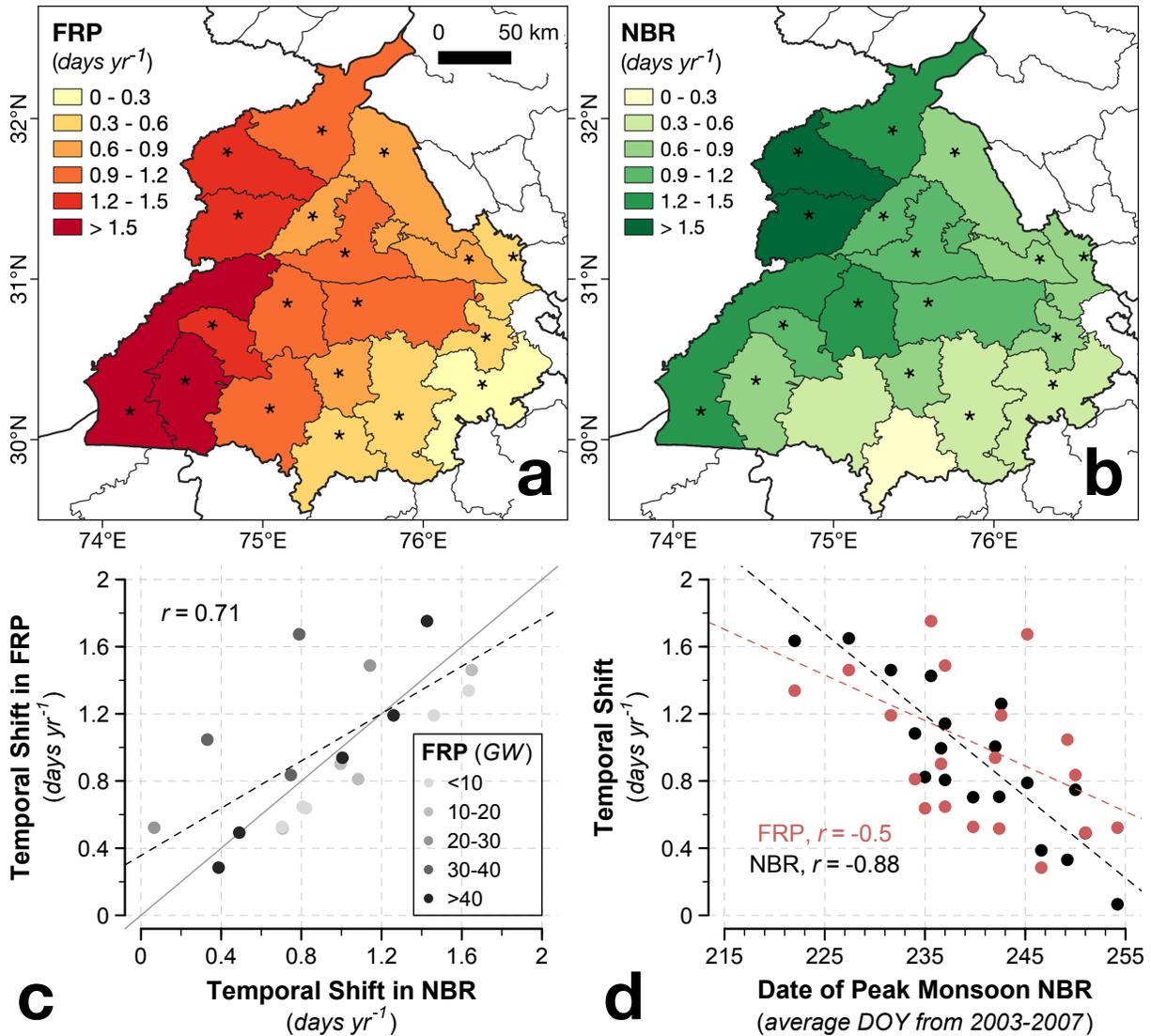
208 *2.2.1 Impact of temporal shifts in fire activity on downwind smoke exposure*

209 Peak burning during the 2008-2019 fire seasons on average takes place ~1-2 weeks later
 210 than during 2003-2007. To estimate the impact of the delayed post-monsoon fire season on
 211 downwind smoke exposure, we artificially shift the temporal distribution of each fire season
 212 from 2008-2019 by magnitudes ranging from 21 days earlier to 21 days later in 1-day intervals,
 213 keeping the total emissions level constant. For this more intensive calculation, we use the
 214 footprint sensitivities only for 12 pm local time, following Cusworth et al. (2018). Based on a
 215 sensitivity test for New Delhi, our results using 12 pm as the start time of the STILT simulations
 216 are likely conservative in terms of the impact on PM_{2.5} but as we will see, consistent with results
 217 starting at other hours of the day. By calculating STILT footprints using meteorological
 218 conditions from 2007-2019, we can gauge the sensitivity of PM_{2.5} at the receptor to a range of
 219 possible meteorological conditions. For each iteration and combination of the temporally shifted
 220 fire season and associated meteorology, we estimate the maximum PM_{2.5} from a 21-day rolling
 221 mean from September 15 to December 15. In total, we test 43 iterations for each year from 2008-
 222 2019, and then apply 13 years of meteorology from 2007-2019, for 559 iterations total for each
 223 year’s fire emissions at each receptor. We calculate the 21-day rolling mean as our metric to
 224 capture the PM_{2.5} relevant to post-monsoon fire activity, 80% of which occur within an
 225 approximate 3-week period (Liu et al., 2021). Specifically, we focus on the impact to smoke

226 $PM_{2.5}$ if the fire seasons had not been delayed such that peak burning hypothetically matched
 227 either (1) the average date from 2003-2007 or (2) the earliest peak date among 2003-2007. For
 228 each year, we fit a Gaussian curve to the daily FRP timeseries to determine the peak burning date
 229 of the post-monsoon burning season (Liu et al., 2020, 2021).

230 3 Results and Discussion

231 3.1 Delays in monsoon rice growth led to delays in post-monsoon fires



232
 233 **Figure 1. Correlation of temporal shifts in post-monsoon fires and peak monsoon greenness**
 234 **by district in Punjab across 2003-2019.** We use Fire Radiative Power (FRP) to calculate the
 235 midpoint of the post-monsoon fire season and maximum monsoon greenness, indicated by the
 236 proxy Normalized Burn Ratio (NBR), to represent the timing of rice maturity. Maps of the
 237 district-level temporal shift in (a) FPR and (b) NBR are presented for 19 districts in Punjab.
 238 Stars indicate that temporal shift (days yr⁻¹) in FRP or NBR is statistically significant ($p < 0.05$)

239 for that district. Districts without statistically significant temporal shifts in both FRP and NBR
 240 are omitted from this analysis. (c) Spatial correlation of the temporal shifts in FRP and NBR in
 241 districts shown in (a) and (b). The color of the dots denotes the mean post-monsoon FRP. The
 242 solid gray line depicts the 1:1 line. (d) Correlation of the average day of year at peak monsoon
 243 NBR from 2003-2007 and the temporal shifts in NBR and FRP. The correlation coefficients,
 244 weighted by the agricultural area of each district, are shown inset.

245 Across Punjab, we observe a longitudinal gradient in the temporal shifts in both the peak
 246 monsoon greenness and midpoint of the post-monsoon burning season, two central metrics
 247 discussed in Liu et al. (2021) (Figure 1a-b). Western districts experienced more than two-fold
 248 greater temporal shifts than eastern districts. The spatial correlation of $r = 0.71$ ($p < 0.05$) in the
 249 district-level shifts of the two metrics suggest that delays in the monsoon growing season may
 250 drive those in the post-monsoon burning season (Figure 1c). In sensitivity tests, we find that this
 251 correlation is consistent ($r = 0.51$ to 0.77 , $p < 0.05$) across various breakpoints during the post-
 252 monsoon fire season (start, midpoint, and end) and monsoon growing season (green-up, peak,
 253 maturity, senescence, and dormancy), derived from multiple greenness indices (NBR, NDVI, and
 254 EVI) (Table 2). Here we focus on Punjab, but we also find temporal shifts in some districts in
 255 Haryana and northern Rajasthan, though the linkage between the delays is less clear in those
 256 districts. This may arise from greater spatial intra-district heterogeneity in cropping patterns and
 257 use of fire for clearing crop residues.

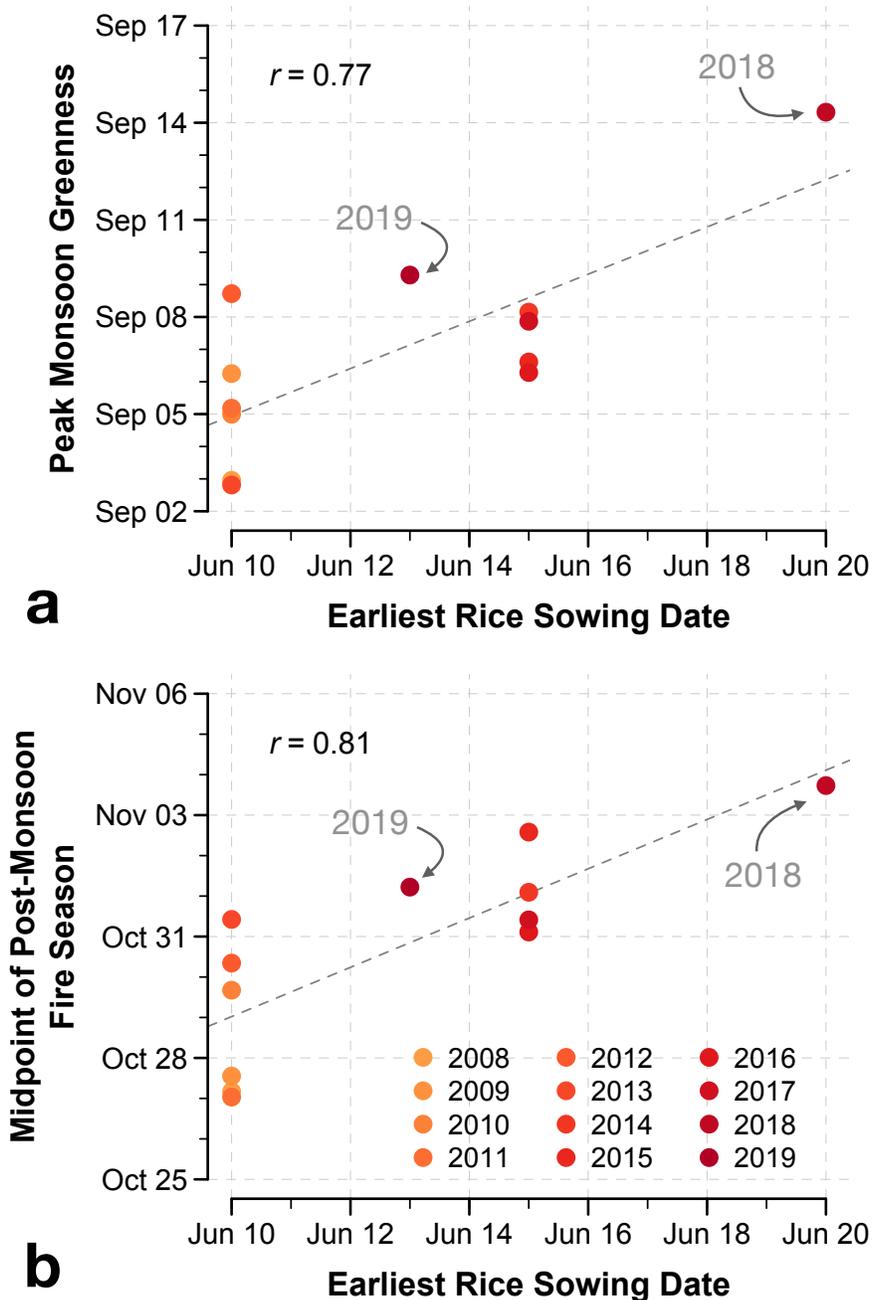
258 **Table 2.** Correlations between the temporal shifts in the timing of the monsoon growing season
 259 and post-monsoon fire season. The correlation coefficient r is calculated for the 19 Punjab
 260 districts with statistically significant ($p < 0.05$) temporal shifts in the midpoint of the fire season
 261 (using the weighted mean method) and/or peak monsoon greenness (NBR), such as shown in
 262 Figures 1 and 2. All correlations are statistically significant at $p < 0.05$.

		Post-Monsoon Fire Season (FRP)			
		Midpoint (weighted mean)	Midpoint ($\beta=0.5$)	Start ($\beta=0.1$)	End ($\beta=0.9$)
Monsoon Rice Growing Season	<i>MOD09GA</i>				
	Peak (NBR)	0.71	0.74	0.66	0.63
	Peak (NDVI)	0.72	0.74	0.66	0.65
	<i>MCD12Q2 (EVI)</i>				
	Green-up	0.73	0.75	0.57	0.71
	Maturity	0.67	0.71	0.58	0.64
	Peak	0.65	0.7	0.58	0.61
	Senescence	0.73	0.77	0.68	0.67
	Dormancy	0.56	0.61	0.51	0.52

263

264 Further, the average day of peak monsoon greenness from 2003-2007 is negatively
 265 correlated with the temporal shifts in post-monsoon fires ($r = -0.5$, $p < 0.05$) and monsoon
 266 greenness ($r = -0.88$, $p < 0.05$), which indicates that those districts that initially sowed rice the
 267 earliest also experienced the greatest cascading delays from paddy sowing to post-monsoon

268 burning within the 2003-2019 period (Figure 1d, S1). The net effect is the closer alignment of the
 269 timing of the midpoint of the post-monsoon fire season across districts in later years, as shown
 270 by the 53% ($p < 0.05$) overall decrease in the standard deviation of the spatial distribution of the
 271 midpoint (Figure S2). Thus, a higher biomass load is burned within a shorter time window.

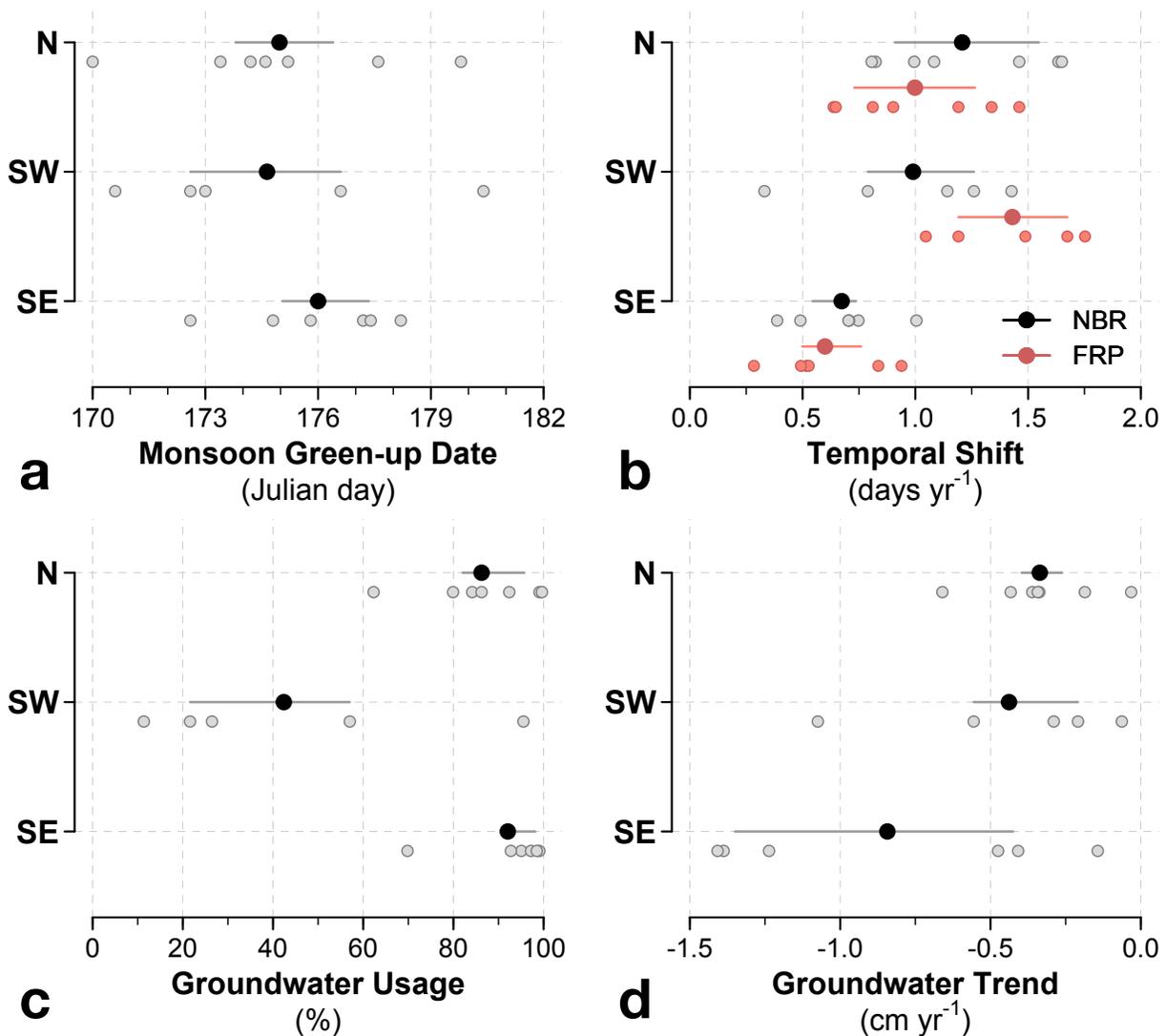


272

273 **Figure 2. Correlation between the earliest rice sowing dates and timing of the peak**
 274 **monsoon greenness and midpoint of the post-monsoon fire season in Punjab from 2008-**
 275 **2019:** The earliest rice paddy sowing dates mandated by the Punjab Preservation of Sub-Soil
 276 Water Act are shown with respect to the satellite-derived (a) peak monsoon greenness and (b)
 277 midpoint of the post-monsoon fire season. Dates for the peak monsoon greenness and midpoint

278 of the post-monsoon fire season are averaged across the districts in Punjab shown in **Figure 1**,
 279 weighted by the districts' agricultural area. The color of the dots corresponds to the year. The
 280 correlation coefficients are shown inset and are statistically significant at $p < 0.05$.

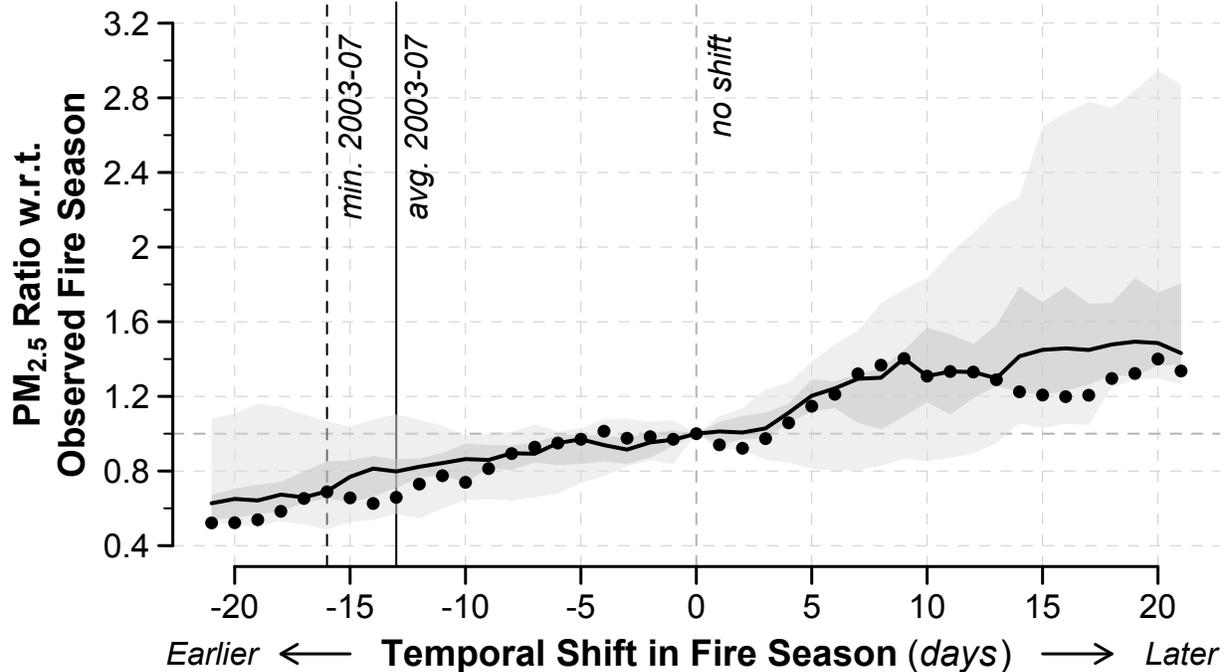
281 One proposed driver of these delays is Punjab's implementation of the Preservation of
 282 Subsoil Water Act, 2009, a policy that sets the earliest date by which farmers can sow paddy,
 283 which changed from June 10 in 2008 to June 15 in 2014, June 20 in 2018, and June 13 in 2019
 284 (The Indian Express, 2019). From 2008 onward, we find a strong correlation ($p < 0.05$) between
 285 changes in the policy-mandated paddy sowing date and satellite-derived timing of the monsoon
 286 growing season ($r = 0.77$) and post-monsoon fires ($r = 0.81$) (**Figure 2**). This correlation suggests
 287 that farmers generally adhered to the groundwater policy. While the sample size is small, we see
 288 that the anomaly in the late 2018 growing season and subsequent fires, followed by the 2019
 289 return to the median are consistent with changes in the mandated paddy sowing dates.



290
 291 **Figure 3. Regional summary of district-level trends in phenology, fires, and groundwater**
 292 **levels in Punjab, India: (a) monsoon green-up date from 2003-2007, (b) temporal shifts in the**
 293 **timing of the peak monsoon greenness (i.e., maximum NBR) and midpoint of the post-monsoon**

294 fire season (i.e., weighted average using FRP) from 2003-2019, denoted by black and red,
295 respectively, (c) groundwater usage as a percentage of total area irrigated by wells and canals,
296 and (d) groundwater level trends from 2008-2019. Here Punjab is split into three regions: north
297 (N), southwest (SW), and southeast (SE). Solid dots represent the average across districts in that
298 region, and lines represent the 25th to 75th percentile range. Light gray dots represent values for
299 individual districts.

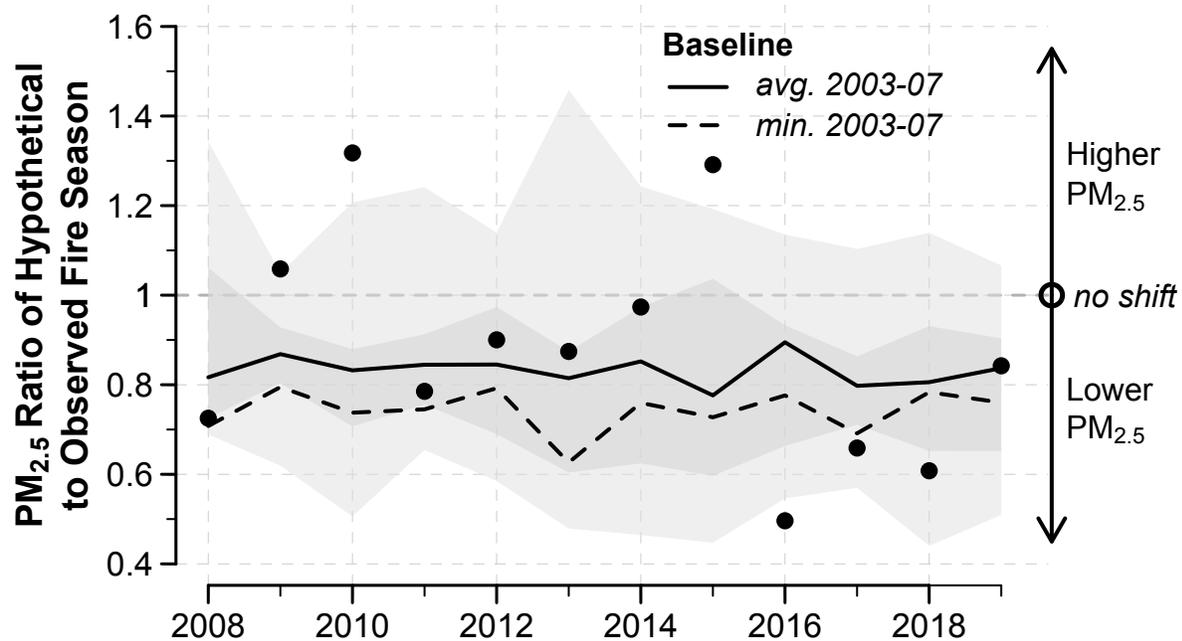
300 In addition to the spatial heterogeneity in the temporal shifts in the monsoon growing
301 season and post-monsoon fires, we also find spatial variation in groundwater usage and trends
302 (Figure 3). For simplicity, we divide Punjab into three regional clusters (north, southwest, and
303 southeast) to illustrate these spatial differences. Observations show that districts in southeast –
304 relative to those districts in the north and southwest – initially sowed paddy the latest (Figure 3a)
305 and experienced the smallest temporal shifts (Figure 3b) but counterintuitively had the highest
306 groundwater usage (Figure 3c) and most severe groundwater depletion after the policy
307 implementation (Figure 3d). Two potential scenarios may explain these observations: (1) the
308 groundwater policy was effective – i.e., districts that needed to drastically shift rice sowing dates
309 to align with that mandated by the policy, indicated by large temporal shifts, subsequently
310 experienced the least severe groundwater depletion; or (2) the policy was inefficient – i.e.,
311 districts least affected by the policy, indicated by small temporal shifts, continued to suffer from
312 severe groundwater depletion. If the groundwater policy broadly stemmed falling groundwater
313 levels but failed to target districts that are most in need, it is possible that the policy was both
314 effective and inefficient. Further observational data, such as survey data with large sample sizes,
315 are needed to robustly link the groundwater policy and its intended effect at the district level to
316 changes in irrigation water management practices. Confounding factors include variation in the
317 type of rice grown (i.e., basmati versus coarse grain), soil texture, and recent shifts in the crops
318 planted (e.g., cotton to rice).



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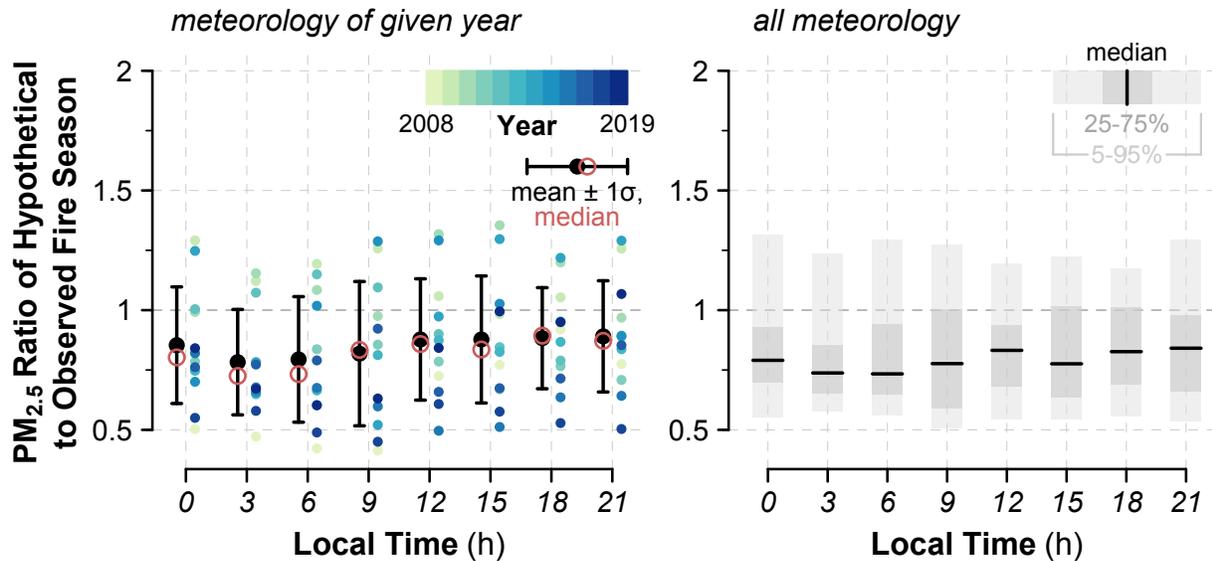
321 **Figure 4. Effect of temporal shifts in the post-monsoon agricultural fire season on**
 322 **downwind PM_{2.5} in New Delhi in 2017.** The response of seasonal maximum PM_{2.5}
 323 concentrations is shown for hypothetical shifts in the fire season from 21 days earlier to 21 days
 324 later, for 2017 fire emissions and a range of meteorological scenarios. For each scenario, we
 325 calculate the maximum smoke PM_{2.5} in New Delhi from a 21-day rolling mean from October 1
 326 to November 30 for a hypothetical shift in the fire season relative to the one observed. The
 327 median ratio derived from varying STILT footprint sensitivities by meteorology from 2007-2019
 328 is shown as the solid curve. The dark gray envelope shows the 25th-75th percentiles in ratios,
 329 and the light gray envelope shows the 5th-95th percentiles. Dots indicate the relative smoke
 330 PM_{2.5} using only 2017 meteorology. The vertical black lines show the change in PM_{2.5} if the
 331 2017 post-monsoon fire season is shifted earlier to two baselines: (1) average from 2003-2007
 332 (solid) and (2) earliest peak date among 2003-2007 (dashed).

333 We first focus on the effects of temporal shifts of burning on downwind smoke exposure
 334 in New Delhi, the most populous megacity in India. As STILT footprints show, air quality in
 335 New Delhi is sensitive to fires in Punjab and Haryana due to prevailing northwesterly winds that
 336 are consistent year after year (Figure S5). Figure 4 shows an example of the ratio of modeled
 337 smoke PM_{2.5} in Delhi for the 2017 post-monsoon fire season that is hypothetically shifted
 338 forward and backward in time relative to the observed fire season in daily increments. Generally,
 339 smoke PM_{2.5} decreases as the fire season shifts earlier and increases as the fire season shifts later.



340

341 **Figure 5. Effect on $PM_{2.5}$ in New Delhi from 2008-2018 if the post-monsoon agricultural**
 342 **fire season had not experienced delays relative to the 2003-2007 period.** The response of
 343 seasonal $PM_{2.5}$ concentrations is shown for two different hypothetical shifts in the fire season for
 344 a range of meteorological scenarios. For each year, that season's fire emissions are shifted earlier
 345 by the number of days between the peak burning day of each fire season and that of two
 346 baselines: (1) average from 2003-2007 (solid line) and (2) earliest during 2003-2007 (dashed
 347 line). Smoke $PM_{2.5}$ in New Delhi is calculated as the maximum of 21-day rolling means from
 348 October 1 to November 30 for a hypothetical fire season that is shifted earlier relative to one that
 349 was observed. The medians derived from varying STILT footprint sensitivities from 2007-2019
 350 are shown as the solid and dashed lines. Dots indicate the smoke $PM_{2.5}$ estimated with the
 351 meteorology and fire emissions of the given year relative to that for a hypothetical fire season
 352 aligned with the 2003-2007 average peak timing. Shaded envelopes denote the 25th-75th
 353 percentile range in relative smoke $PM_{2.5}$ (dark gray) and the 5th-95th percentile range (light
 354 gray), again using fire emissions of the given year and the 2003-2007 peak timing, but
 355 meteorology in all available years from 2008-2019.

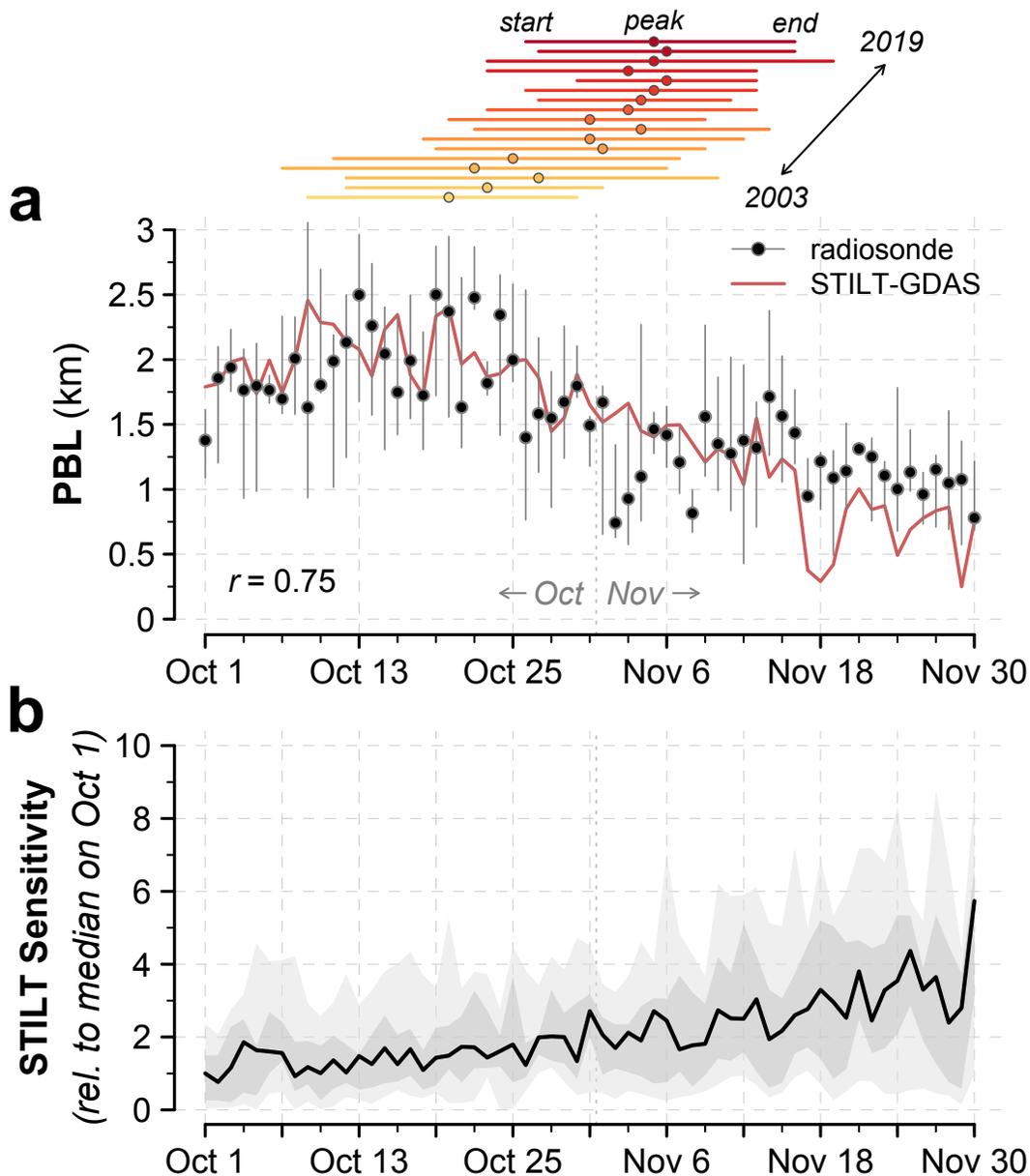


356

357 **Figure 6. Effect on $PM_{2.5}$ in New Delhi from 2008-2019 if the post-monsoon agricultural**
 358 **fire season had not experienced delays relative to the 2003-2007 period:** Fire emissions are
 359 shifted earlier by the number of days between the peak burning day of each fire season and that
 360 of the 2003-2007 average. Unlike Figure 5, here the STILT-simulated $PM_{2.5}$ ratio is shown for
 361 eight different local hours of the day: 0, 3, 6, 9, 12, 15, 18, and 21 h. (Left panel) Maximum
 362 smoke $PM_{2.5}$ from a 21-day rolling mean from October 1 to November 30 for a hypothetical fire
 363 season that is shifted earlier relative to one that was observed. For each hour, the $PM_{2.5}$ ratio is
 364 shown as the median (red circles), mean $\pm 1\sigma$ (black dots and bars), and for individual years
 365 (colored dots). (Right panel) The fire emissions of each year are applied to STILT sensitivities
 366 for all years from 2007-2019 to show a range of possible meteorological conditions. The $PM_{2.5}$
 367 ratio is averaged across meteorological years by quantiles. The horizontal bars show the median
 368 for each hour; the dark gray envelopes show the 25th-75th percentile range, and the light gray
 369 envelopes show the 5th-95th percentile range.

370 If the 2008-2019 post-monsoon burning seasons had not been delayed and occurred
 371 earlier by 1-2 weeks on par with the average timing of peak fires observed in 2003-2007, the
 372 maximum 21-day mean $PM_{2.5}$ in New Delhi would on average be reduced by 12% (min: -50%,
 373 max: +32%) (Figure 5). This result is consistent across various start hours of the day for the
 374 STILT simulations, with our choice of 12 pm likely leading to conservative estimates (Figure 6).
 375 The range of this response to the temporal shift in burning indicates that year-to-year
 376 meteorology drives substantial variability in downwind $PM_{2.5}$. Nonetheless, in nine of the 12
 377 years from 2008-2019, Delhi would have experienced lower $PM_{2.5}$ in the absence of the shift
 378 toward later peak burning (Figure 5). Next, we apply a range of meteorology-driven footprint
 379 sensitivities (2007-2019) to each year's fire emissions. We find that the median $PM_{2.5}$ would be
 380 reduced by 17% (11-22%), compared with the business-as-usual scenario of delayed burning. If
 381 we further shift fire emissions by another 4 days earlier such that peak burning matches that of
 382 the earliest peak date from 2003-2007, the decrease in median $PM_{2.5}$ would be ~ 1.5 times as high
 383 at 26% (20-37%). Conversely, if the post-monsoon fire season continues to shift later, we find
 384 that the $PM_{2.5}$ burden in downwind areas will likely increase further under our 13-year range of

385 meteorological conditions.

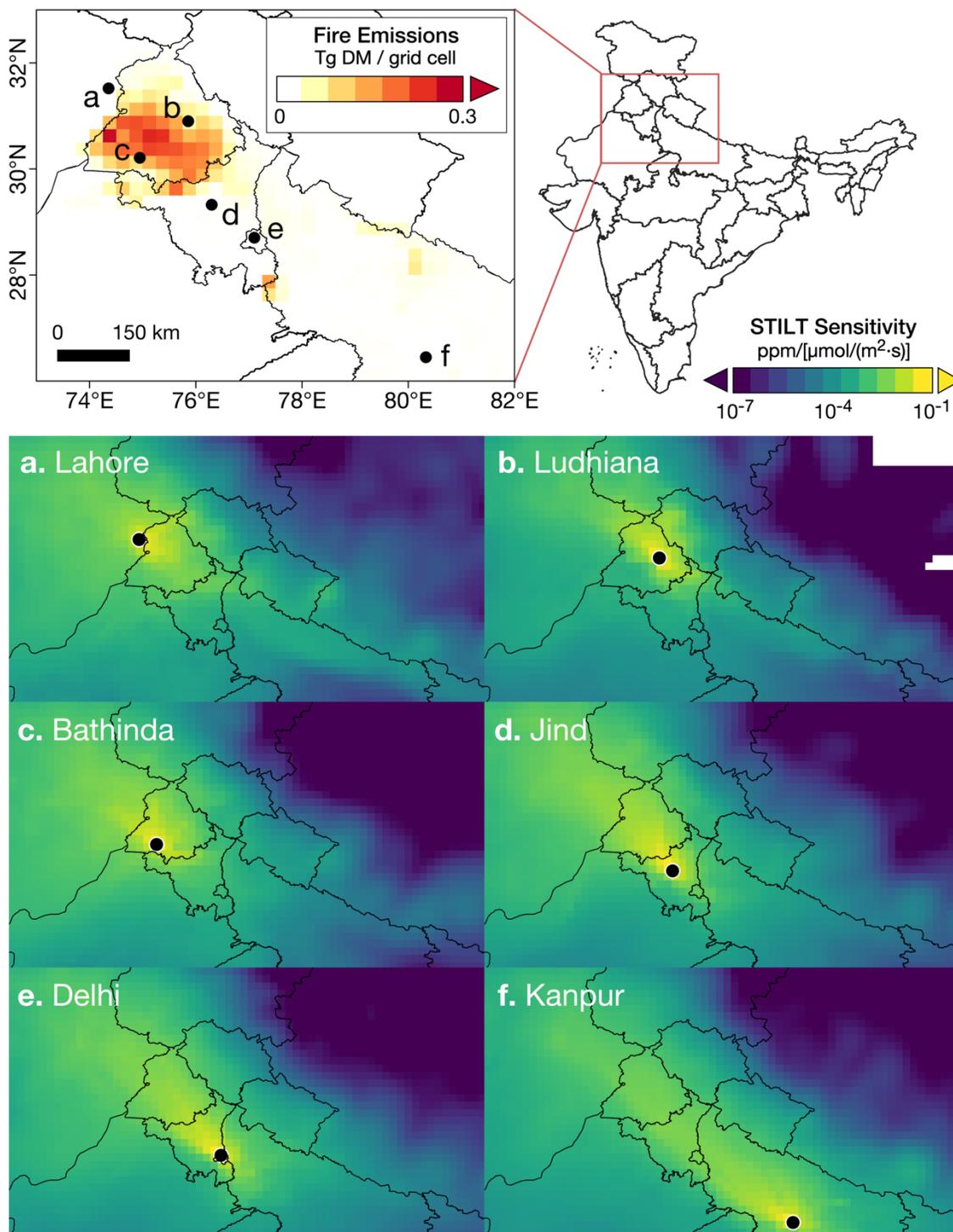


386

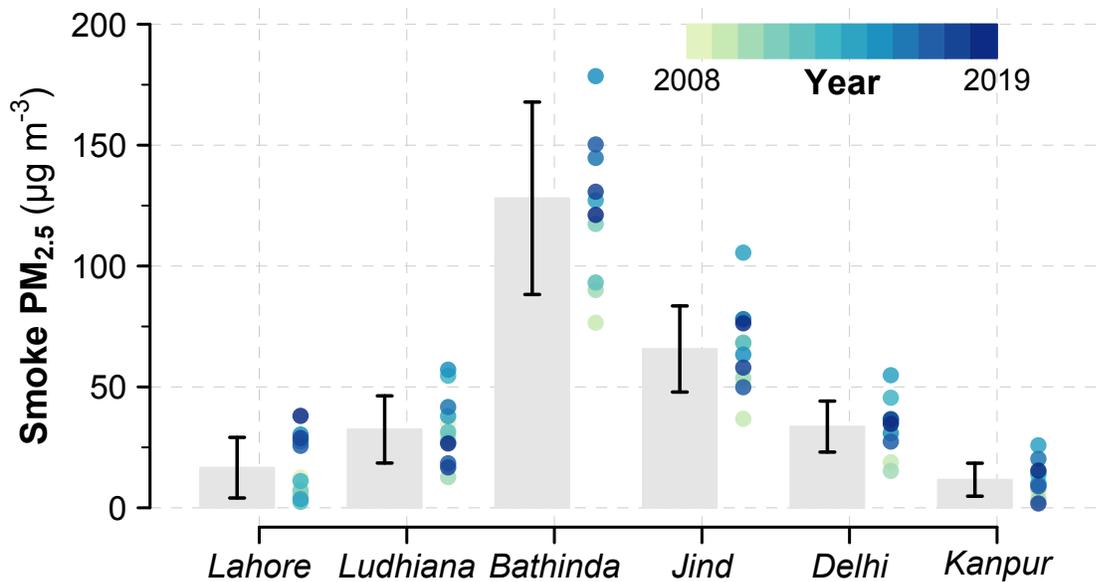
387 **Figure 7: Compression of daily afternoon boundary layer heights and increase in average**
388 **STILT sensitivities for New Delhi during the post-monsoon burning season: (a)** The median
389 PBL heights from radiosonde data (black dots) and from STILT with GDAS meteorology (red
390 line) at 5:30 pm local time from 2003-2019. Gray segments depict the 25th-75th percentiles of
391 the radiosonde data, and the correlation coefficient between radiosonde and STILT-GDAS PBL
392 is shown inset. Segments at the top show the timing of the start, peak, and end of the post-
393 monsoon burning season in Punjab and Haryana from 2003-2019, with warmer colors indicating
394 later years. (b) Daily average STILT sensitivity footprints for New Delhi are weighted by the
395 average 2003-2019 post-monsoon SAGE-IGP dry matter emissions. The STILT sensitivities are
396 shown as normalized values relative to the mean STILT sensitivity on October 1. The black line

397 indicates normalized median STILT sensitivity across 2007-2019, while the dark gray envelope
398 denotes the 25th-75th percentiles, and the light gray envelope shows the 5th-95th percentiles.

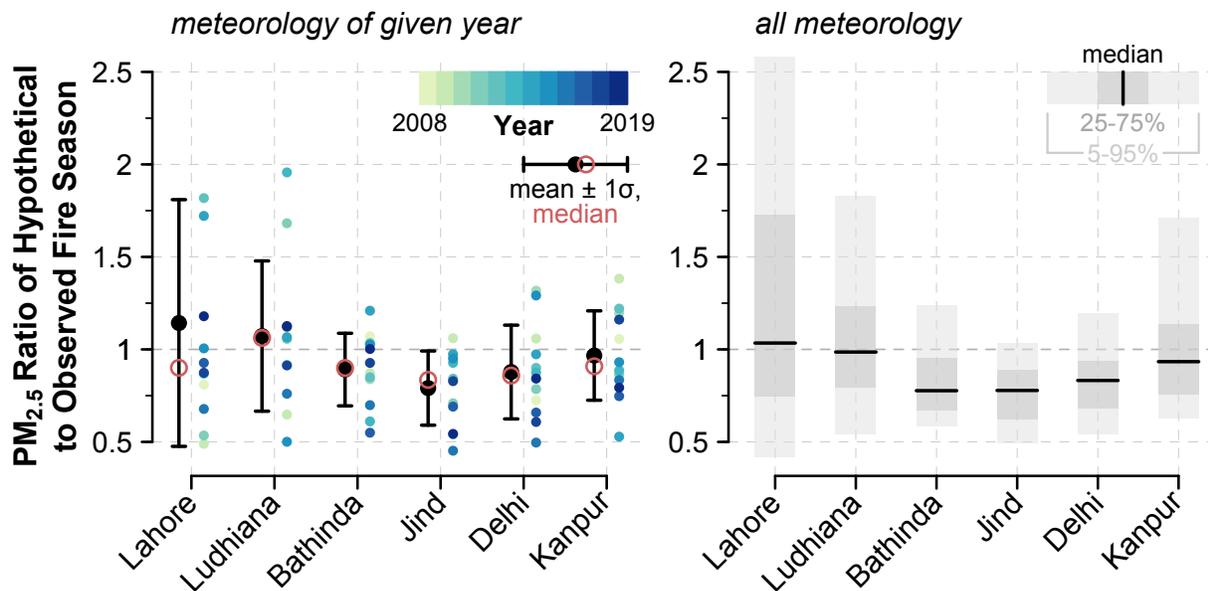
399 **Figure 7** illustrates why delays in the post-monsoon fire season – without any
400 consideration of increases in fire activity – can lead to increased $PM_{2.5}$ in New Delhi. Afternoon
401 mixing layer heights in New Delhi decrease from ~1900 m in October to ~1200 m by mid-
402 November, pointing to weak ventilation conditions that favor aerosol buildup near the surface
403 (**Figure 7a**). Simultaneously, the spatial average of STILT sensitivity for New Delhi, weighted by
404 fire emissions, steadily rises during this period, suggesting that air quality in New Delhi is
405 increasingly susceptible to degradation from regional fires with time (**Figure 7b**). Due to the
406 delays in the fire season, peak fires occur when New Delhi is ~41% more sensitive to regional
407 fires in 2008-2019 than in 2003-2007. As the post-monsoon transitions to winter, weak winds,
408 cooler temperatures, and a shallow boundary layer may also drive a steady increase in
409 background $PM_{2.5}$, which would further reduce air quality (**Supplementary Section S2.2, Figure**
410 **S6**). Further analysis is needed to dissect how different meteorological parameters (i.e., winds,
411 boundary layer dynamics, diurnal variability, and temperature inversion) contribute to air quality
412 degradation.



413
 414 **Figure 8: STILT sensitivity footprints for six receptors:** (*Top panel*) Location of the six
 415 selected STILT receptors, overlaid on SAGE-IGP post-monsoon dry matter burned, averaged
 416 across 2008-2019: (a) Lahore, (b) Ludhiana, (c) Bathinda, (d) Jind, (e) New Delhi, and (f)
 417 Kanpur. (*Bottom panels*) STILT sensitivities are averaged first from Oct 1 to Nov 30 of each
 418 year, weighted by the smoke $\text{PM}_{2.5}$ at the receptor, and then across 2008-2019. Note that the
 419 color bar increments for the STILT sensitivities are logarithmic.



420
 421 **Figure 9. PM_{2.5} concentrations in six cities during the post-monsoon agricultural fire season**
 422 **from 2008-2019:** Maximum smoke PM_{2.5} from a 21-day rolling mean from October 1 to
 423 November 30. Bars depict the average from 2008-2019, and segments denote $\pm 1\sigma$. Dots denote
 424 the maximum 21-day rolling mean PM_{2.5} in each year, with lighter colors representing earlier
 425 years and darker colors, later years. Here the years of the fire emissions and meteorology match,
 426 and no additional meteorological conditions are considered.



427
 428 **Figure 10. Effect on PM_{2.5} in six cities from 2008-2019 if the post-monsoon agricultural fire**
 429 **season had not experienced delays relative to the 2003-2007 period:** Fire emissions are
 430 shifted earlier by the number of days between the peak burning day of each fire season and that
 431 of the 2003-2007 average. (Left panel) Maximum smoke PM_{2.5} from a 21-day rolling mean from
 432 October 1 to November 30 for a hypothetical fire season that is shifted earlier relative to one that

433 was observed. For each city, the PM_{2.5} ratio is shown as the median across all years (red circles),
434 mean $\pm 1\sigma$ (black dots and bars), and for individual years (colored dots). Cities are arranged from
435 east to west along the x-axis. (*Right panel*) Same as left panel, except the fire emissions of each
436 year are applied to STILT sensitivities for all years from 2007-2019 to show the impact of a
437 range of possible meteorological conditions. The PM_{2.5} ratio is averaged across meteorological
438 years by quantiles. The horizontal bars show the median; the dark gray envelopes show the 25th-
439 75th percentile range, and the light gray envelopes show the 5th-95th percentile range.

440 Next, we examine how air quality responds to delays in fire activity in five other cities
441 across the IGP: Kanpur, Lahore, Ludhiana, Bathinda, and Jind (**Table 2; Figure 8**). These six
442 cities represent a diverse sample of receptors that range in proximity and direction relative to the
443 fire-dominant regions. This is evident in the range in 21-day average smoke PM_{2.5} of 15-173 μg
444 m^{-3} experienced at each receptor (**Figure 9, Table S2**). **Figure 8** shows the spatial pattern of each
445 city's mean STILT sensitivity, weighted by the daily smoke PM_{2.5} at the receptor. We find that if
446 post-monsoon fires had not been delayed during 2008-2019, receptors that are downwind and
447 close to the fire source (i.e., Bathinda, Jind, and New Delhi) – and with the highest 21-day
448 average smoke PM_{2.5} – consistently see decreases in smoke PM_{2.5} of 11-21% on average (**Figure**
449 **10, Table S2**). In contrast, the effect of the fire season delays on smoke PM_{2.5} is highly variable
450 from year to year in Kanpur, Lahore, and Ludhiana, where smoke PM_{2.5} is more sensitive to
451 changes in meteorology. Specifically, Lahore and Ludhiana are often upwind of the fires due to
452 prevailing northwesterly winds (Liu et al., 2018; Patel et al., 2021), while Kanpur is the farthest
453 receptor from the fire source.

454 Our modeling design has some limitations. For our hypothetical simulations of smoke
455 PM_{2.5} where fire emissions are shifted backward in time, we assume that fire emissions shift
456 uniformly across all grid cells. In reality, as seen in our satellite-based analysis, western districts
457 in northwest India experienced greater delays in post-monsoon fire activity than eastern districts
458 (**Figure 1a**). While our atmospheric modeling approach simplifies this heterogeneity of the
459 observed delays, the consistent decreases in smoke PM_{2.5} as fire emissions gradually shift earlier
460 in 1-day intervals – and increases in smoke PM_{2.5} with further delays – lend confidence to our
461 results (**Figure 4**). The magnitude of fire emissions is also not well-constrained, as satellites may
462 miss fires with low thermal anomalies and those that occur outside the overpass times and during
463 periods with thick haze and smog (Liu et al., 2020). However, this study focuses on relative
464 changes in PM_{2.5}, for which the magnitude of fire emissions is less important. Finally, while we
465 show that there are associations between the groundwater policy, delays in monsoon season
466 sowing date, and delays in burning at the end of the monsoon season, it is possible that other
467 factors, such as changes in crop type or variety, may explain some of these associations. Future
468 work should examine the causal relationship between these variables using large scale survey
469 data and/or causal inference methods.

470 3.3 Contextualizing the importance of delays in the post-monsoon fire season

471 Sembhi et al. (2020) suggest that the impact of the delay in the post-monsoon fire season
472 on regional air quality may be small relative to that of the increase in fire activity or
473 meteorological variability. Under a larger range of meteorological conditions, our results
474 generally show a consistent decrease in smoke PM_{2.5} had the fire season been earlier; the PM_{2.5}

475 decreases are robust in Jind, Bathinda, and New Delhi, three downwind receptors that experience
476 high 21-day mean smoke $PM_{2.5}$ of 44-173 $\mu g m^{-3}$. Additionally, two contextual factors may
477 enhance the importance of the delay to regional air pollution mitigation strategies: (1) the linkage
478 between the increase and delay in fire activity and (2) the superposition of high smoke $PM_{2.5}$ on
479 increased background $PM_{2.5}$ with potential aerosol-radiation feedbacks. Gautam et al. (2021) and
480 Pan et al. (2015) previously underscored the role of secondary aerosol formation in the context of
481 heavy aerosol pollution in northern India. We describe these factors in greater detail below.

482 First, the increase and delay in fires are intrinsically linked – that is, the cascading delays
483 in the rice growing season and post-monsoon burning compress the harvest-to-sowing window,
484 driving further use of fire as farmers attempt to efficiently clear their fields of crop residues (Liu
485 et al., 2020). Consequently, fire activity is particularly intense later in the fire season in early to
486 mid-November (Liu et al., 2021), when thick haze and smog can obscure fires from satellite
487 detection and lead to underestimates in fire emissions (Cusworth et al., 2018; Liu et al., 2020).
488 Several factors – including the delay in the fire season, increasing use of combine harvesters,
489 and increasing crop production – likely all drive the overall increase in fire activity (Jethva et al.,
490 2019; Liu et al., 2021). It is difficult to disentangle the influence of each factor, as farmers often
491 cite multiple, inter-connected reasons for burning rice residues, including saving time and cost,
492 overcoming the short harvest-to-sowing transition window, labor shortages, and lacking
493 technology and incentives to manage the residues (Bhuvaneshwari et al., 2019; Liu et al., 2020).

494 Second, background $PM_{2.5}$ from primarily anthropogenic pollution sources increases
495 from post-monsoon to winter, at least in part because of meteorological conditions favoring
496 pollution buildup. For example, we find that the background $PM_{2.5}$ steadily increased by 1.06-
497 1.66 $\mu g m^{-3}$ per day in New Delhi from October to December, though this apparent increase may
498 not be captured by standard atmospheric modeling frameworks (Figure S6-7; Section S2.2).
499 Superposing smoke $PM_{2.5}$ on higher background $PM_{2.5}$ later in the burning season increases the
500 risk of extremely polluted days ($>250 \mu g m^{-3}$), when wide-reaching emergency measures are
501 taken to protect public health and safety, such as by cancelling flights, halting construction, and
502 closing schools (The Guardian, 2017; The Times of India, 2017). As experienced in early-to-mid
503 November in 2017, the buildup of smoke aerosols in northwest India can lead to both large
504 spikes in $PM_{2.5}$ concentrations and regional smog that persists into the early afternoon, a
505 phenomenon typically observed in winter (Gautam et al., 2007, 2021; Ghude et al., 2017; Liu et
506 al., 2021). Due to the heterogeneity in the post-monsoon fire season delay across districts, the
507 timing of burning across all districts is now more closely aligned, increasing regional smoke
508 concentrations on peak burning days. Thus, models such as that in Sembhi et al. (2020) may not
509 have captured the full impact of the delayed fire season on downwind $PM_{2.5}$. In addition, the
510 positive feedback of aerosol-radiation interactions, by strengthening temperature inversions and
511 increasing boundary layer stability, may have further enhanced $PM_{2.5}$ concentrations, as has been
512 previously examined over China (Gao et al., 2015; Miao et al., 2019; Qiu et al., 2017; H. Wang
513 et al., 2018; X. Wang et al., 2018). For north India, Gautam et al. (2021) used satellite, ground-
514 based, and reanalysis data to show that a decadal trend in aerosol-induced warming potentially
515 strengthened lower tropospheric stability and increased smog-heavy, low visibility days. Due to
516 computational cost, many atmospheric modeling studies do not account for two-way aerosol
517 interactions with meteorology (Li et al., 2017; Petäjä et al., 2016). Secondary aerosol formation,

518 an important driver of severe haze episodes (Li et al., 2017) – particularly from fires (Palm et al.,
519 2020) – is also not well-constrained in models and in modeled representations of smoke loading
520 which has been previously underscored in multi-model analyses focusing on north India (Pan et
521 al., 2015).

522 **4 Conclusion**

523 In summary, we present evidence that delays in the monsoon rice growing season are
524 strongly associated with similar delays in the post-monsoon burning season, resulting in a
525 cascading effect that further degrades downwind air quality. Along with weak northwesterly
526 winds during the post-monsoon, this is driven by the lower boundary layer and cooler surface air
527 temperatures typically observed in November. We find that in the absence of the delay in
528 biomass burning during 2008-2019, cities that are both downwind and near the fire source – New
529 Delhi, Bathinda, and Jind – would have consistently seen 11-21% less smoke $PM_{2.5}$ than
530 occurred if the same fires happened earlier in the season. Some of the range of uncertainty arises
531 from the influence of plausible meteorological conditions, i.e., variability in wind patterns and
532 boundary layer heights that influence the sensitivity of the receptor to smoke; for example, in
533 New Delhi, we find that $PM_{2.5}$ would have on average been reduced by 12% from 2008-2019,
534 but the year-to-year range varies from -50% to +32%. However, despite variable meteorology,
535 nine of the 12 years examined would have experienced lower $PM_{2.5}$ with had there been no
536 delays in burning. While we show that the delays alone have led to increased $PM_{2.5}$ in downwind
537 areas, the later fire season also drives further fire activity, thus compounding the net negative
538 impact on $PM_{2.5}$. Our work suggests that allowing earlier sowing dates, particularly in districts in
539 Punjab where delays in post-monsoon fire season have occurred with less groundwater depletion,
540 could improve air quality in north India while conserving groundwater.

541 **Open Research**

542 *Data Availability Statement*

543 MODIS/VIIRS land cover and active fire datasets are publicly available through the Google
544 Earth Engine data catalog (<http://earthengine.google.com/>). MODIS/VIIRS datasets are
545 distributed by NASA’s Earthdata platform (<https://earthdata.nasa.gov/>).

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